

Board of Governors of the
Colorado State University System
Meeting Date: August 10, 2011
Consent Item

Approved

Stretch Goal or Strategic Initiative: N/A. Board approval of this administrative action is required by statute, CCHE, Board, or university policy.

MATTERS FOR ACTION:

Nondelegable Personnel Actions

RECOMMENDED ACTION:

MOVED, that the Board of Governors of the Colorado State University System approve nondelegable personnel actions as submitted by Colorado State University – Fort Collins.

EXPLANATION:

Presented by Tony Frank, President

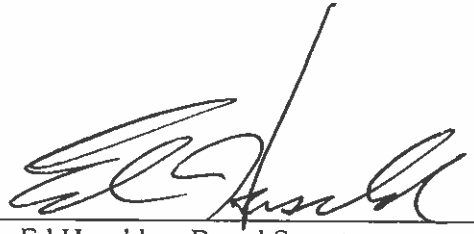
At its May 3, 1995 meeting, the Board approved a policy delegating personnel power to the institutional presidents with the exception of specific personnel actions. This agenda item allows for action on such personnel decisions.

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LEAVE OF ABSENCE

	NAME	DEPARTMENT	FROM	TO	TYPE	LEAVE TYPE
1	Bell, Jodie B	FWCB	6/1/11	9/30/11	12/Spec	LWOP/Personal
2	Bloomquist, Andrea M	Access Center	6/21/11	7/5/11	12/Reg	LWOP/Family Obligations
3	Bontadelli, Johnna	Hartshorn Health Services	6/1/11	6/10/11	12/Regular	LWOP/Departmental
4	Bravo, Vanessa A	FRWS	6/1/11	6/30/11	12/Spec	LWOP/Personal
5	Cadmus, Kyran J	FC Diagnostics Lab	6/13/11	6/29/11	12/Spec	LWOP/Personal
6	Citino, Nathan J	History	8/16/11	5/15/12	9/Reg	LWOP/LWOP
7	Elwyn, Laurie L	Hartshorn Health Services	6/27/11	6/28/11	12/Reg	LWOP/Personal
8	Giebler, Holli	Biochemistry & Molecular Biology	7/5/11	8/12/11	12/Spec	LWOP/Family Obligations
9	Hughes, Steven A	Civil & Environmental Engineering	3/1/11	6/30/11	12/Spec	LWOP/LWOP
10	Johnson, Jennifer L	Stdnt Ldrshp, Invlvmt & Comm Eng	7/1/11	7/29/11	12/Reg	LWOP/Personal
11	Lopez, Carolina M	MIP	5/12/11	6/19/11	12/Temp	LWOP/FMLA
12	Lowrey, Robert C	Student Legal Services	6/27/11	6/30/11	12/Reg	LWOP/Departmental
13	Mayeno, Arthur	Chemical & Biological Engineering	5/1/11	4/30/12	12/Spec	LWOP/Departmental
14	Milislavjevich, Karin D	CSU Extension	4/1/11	6/30/11	12/Spec	LWOP/Departmental
15	Nuckols, John R	ERHS	7/1/11	6/30/12	12/Spec	LWOP/Departmental
16	Orova, Katalin	Electrical & Computer Engineering	5/20/11	6/5/11	12/Spec	LWOP/Maternity-Paternity
17	Orswell, Forrest M	Student Legal Services	6/10/11	6/27/11	12/Reg	LWOP/Departmental
18	Pomfrey, Carrie R	CEMML	6/21/11	6/24/11	12/Spec	LWOP/Personal
19	Rouse, Natalie M	Ft. Collins Diagnostic Lab	7/1/11	12/31/11	12/Spec	LWOP/Special
20	Smith, Amber C	HDS Administration	5/17/11	5/18/11	12/Reg	LWOP/Personal
21	Smith, Jennifer L	VP for Univeristy Advancement	5/12/11	5/13/11	12/Reg	LWOP/Personal
22	Sutherland, Marjorie D	MIP	5/1/11	5/20/11	12/Temp	LWOP/FMLA
23	Swan, Malcolm M	CEMML	4/29/11	4/29/11	12/Spec	LWOP/Personal
24	Zheng, Xin	MIP	6/16/11	12/31/11	12/Spec	LWOP/FMLA

X
 Approved Denied


 Ed Haselden, Board Secretary
8/10/11
 Date

Board of Governors of the
Colorado State University System
Meeting Date – 10 August 2011
Consent Item

Approved

MATTERS FOR ACTION:

Grade Distribution Report

RECOMMENDED ACTION:

MOVED, that the Board of Governors approve the submission of the attached Performance Contract report to the Department of Higher Education

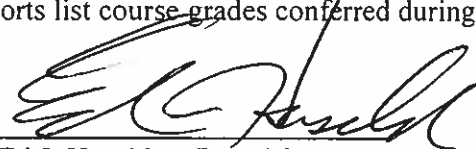
EXPLANATION:

Presented by Dr. G. M. Dennison, System Academic Officer, CSU System.

The Performance Contract requires a series of annual reports and one-time certifications. Reports and certifications come to the Board of Governors for approval prior to submission. Routine data reports required by the Performance Contract come from the Institutions directly.

Goal 2, Section 2.1 of the Performance Contract stipulates that “the Governing Board shall provide data on all course grades conferred during the previous academic year, disaggregated by academic subject and course level. These data shall be accompanied by a description or copies of policies and procedures, if any, used to evaluate the distribution of grades by academic subject and course level or otherwise.” This agenda item includes the Grade Distribution Reports for Colorado State University-Fort Collins, Colorado State University-Pueblo, and Colorado State University-Global. The reports list course grades conferred during the 2011 Academic Year.

 X
Approved Denied


Ed J. Haselden, Board Secretary

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**Colorado State University System Report on
Course Grades Conferred During the 2010-2011 Academic Year**

The Performance Contract for the Colorado State University System stipulates that “the Governing Board shall provide data on all course grades conferred during the previous academic year, disaggregated by academic subject and course level. These data shall be accompanied by a description or copies of policies and procedures, if any, used to evaluate the distribution of grades by academic subjection and course level or otherwise.”

The reports include tables for each institution showing the headcount distribution of grades by subject area and level (with a percentage distribution by level across the entire University), the percentage distribution of grades by subject area and level, and a time series of average term GPA (Grade Point Average). The grade distribution includes letter grades (A through F) and grades of S (Satisfactory), U (Unsatisfactory), as well as designations of I (Incomplete), and H (Honors designation for CVMBS courses at Fort Collins). The reports cover all courses taught during this past Academic Year at all levels, Grad I (Master’s level called “Grad” on the CSU-Pueblo and CSU-Global reports), Grad II (Doctoral level), Lower (undergraduate 100 and 200 level), and Upper (undergraduate 300 and 400 level). The Pueblo report includes grades of “S” and “U” for developmental courses taught on the CSU-Pueblo campus.

No formal policy of CSU-Fort Collins, CSU-Pueblo, or CSU-Global requires a review of course grade distribution. Department Heads and Chairs receive regular reports of the distribution of grades in the Departments and have the authority to review grades in any class. They generally do so when mentoring faculty and when concerns from students arise, although these student-driven reviews usually focus on the assignment of low grades in a particular course. Routine reviews of individual students determine whether students meet GPA and curriculum requirements to remain in good academic standing and/or make appropriate progress towards graduation.

As the tables that present distribution of grades across levels of instruction show, grades for graduate courses trend higher than grades for upper division courses, and grades for upper division courses higher than grades for lower division courses. That pattern reflects the underlying educational reality of improved overall class performance as students move into courses increasingly composed of majors who perform well in their chosen areas of study.

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As mentioned above, the data on grade distributions by subject area and level (plus the more refined data on each course that Department Chairs receive) make it possible for Chairs and Deans to exercise oversight at the level of individuals, courses, programs, and Departments. The national conversation about grade inflation tends to focus on University-level trends in average grades. The attached reports include data on average term GPAs for each semester dating back to 1991 (for CSU-Fort Collins), 1998 (for CSU-Pueblo) and 2009-10 (for CSU-Global). These data reveal virtually no University-level grade inflation in the recent past: The average term GPA has hovered at or within the B-range over the entire period.

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Accepted

MATTERS FOR ACTION:

Enrollment Certification

RECOMMENDED ACTION:

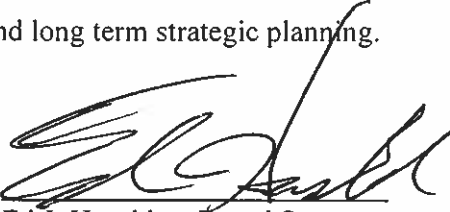
MOVED, that the Board of Governors of the Colorado State University System accept the Enrollment Certification for the Colorado State University campuses.

EXPLANATION:

Presented by Dr. G. M. Dennison, System Academic Officer, CSU System.

Certification of the student enrollment in undergraduate, graduate, and non-degree courses at the Colorado State University campuses provides a statistical basis to assist in evaluation, financial forecasting and long term strategic planning.

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Accepted Denied



Ed J. Haselden, Board Secretary
 8/10/11
Date

Strategic Initiative: Board approval of this administrative action is required by statute, CCHE, Board, or University Policy.

MATTERS FOR ACTION:

CSU: Emeritus Rank Designations

RECOMMENDED ACTION

MOVED, that the Board of Governors approve the conferral of the rank of Emeritus upon those faculty members listed below:

College of Agricultural Sciences

Gary A. Peterson – Professor & Department Head Soil and Crop Sciences

College of Applied Human Sciences

Timothy G. Davies – Professor

School of Education

Mary A. Littrell – Department Head

Design and Merchandising

College of Engineering

William R. Cotton - Professor

Atmospheric Sciences

Louis Scharf - Professor

Electrical and Computer Engineering

College of Veterinary Medicine and Biomedical Sciences

Charles Calisher – Professor

Microbiology, Immunology, and
Pathology

Erick Egger – Associate Professor

Clinical Sciences

Anthony Simon Turner – Professor

Clinical Sciences

Warner College of Natural Resources

Leonard R. Roath – Associate Professor

Forest, Rangeland, and Watershed
Stewardship

William H. Romme – Professor

Forest, Rangeland, and Watershed
Stewardship

George Wallace – Professor

Human Dimensions of Natural
Resources

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EXPLANATION:

Presented by Tony Frank, President

The faculty members listed above have met the qualifications to be awarded the status of Emeritus as set forth in the CSU Academic Faculty and Administrative Professional Manual.

 X _____
Approved Denied



Ed J. Haselden, Board Secretary

 8/10/11
Date

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Consent Item

Approved

Stretch Goal or Strategic Initiative: Board approval of this administrative action is required by statute, CCHE, Board, or university policy.

MATTERS FOR ACTION:

CSU-Pueblo Constitution and Bylaws of the Administrative/
Professional Council

RECOMMENDED ACTION:

MOVED, that the Board of Governors approve the CSU-Pueblo Constitution and Bylaws of the Administrative/Professional Council that serves as the representative body for administrative/professional employees and acts as an advisory council to CSU-Pueblo.

EXPLANATION:

Presented by Peter Dorhout, Provost, CSU-Pueblo

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Accepted

Strategic Initiative: Ensuring Student Satisfaction and Success

MATTERS FOR ACTION:

Accreditation of the Colorado State University-Global Campus

RECOMMENDED ACTION:

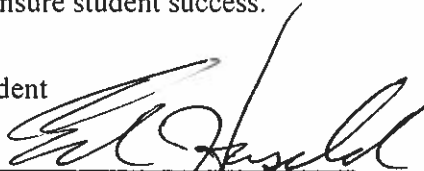
MOVED, that the Board of Governors of the Colorado State University System accept the formal notification by the Higher Learning Commission Board of Trustees granting accreditation status for the CSU-Global.

EXPLANATION:

The CSU-Global Campus is committed to achieving and maintaining the highest standards of quality education for its students. The awarding of accreditation status by the Higher Learning Commission Board of Trustees validates that CSU-Global is diligent in fulfilling the mission to ensure student success.

Presented by Dr. Becky Takeda-Tinker, President

Accepted Denied



Ed J. Haselden, Board Secretary

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Board of Governors of the
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Approved

Strategic Initiative: Ensure Student Satisfaction and Success

MATTERS FOR ACTION:

Degree Conferral Schedule Change

RECOMMENDED ACTION:

MOVED, that the Board of Governors of the Colorado State University System approve the conferral of CSU-Global Campus degrees effective the end of each 8-week term (following completion of all degree requirements).

EXPLANATION:

CSU-Global Campus currently confers degrees twice a year at the end of each semester (June 30 and December 30). While CSU-Global Campus operates on a two-semester system, not all courses are offered in eight-week terms. Thus, many students complete their programs up to four months prior to degree conferral. Given the nature of CSU-Global Campus adult learners and the University’s mission to advance student success in a global society, CSU-Global Campus students need to provide their employing organizations and potential employers with proof of degree completion as quickly as possible so that the graduates can move forward in their careers. The Board of Governors will approve all degrees in advance of referral.

Presented by Dr. Becky Takeda-Tinker, President

 x
Approved Denied


Ed J. Haselden, Board Secretary

8/10/11
Date

COLORADO STATE UNIVERSITY REPORT ON FACULTY ACTIVITY FOR 2010-2011

Colorado State University seeks to ensure that every regular, tenure-track faculty member and special appointment faculty member meets or exceeds the expectations for his/her appointment. This report summarizes the procedures the University uses to ensure faculty meet the University's performance standards, and provides a brief analysis of the outcomes of the various types of review. The process begins with the hiring of new faculty (Section I below) and continues with the annual performance reviews (Section II). Untenured faculty members undergo an annual review of progress toward tenure and are reappointed only if satisfactory performance is documented (Section III). At the midpoint of the probationary period, ordinarily during the third year of appointment, such untenured faculty members undergo a more comprehensive review. The critical decision concerning tenure and promotion normally occurs in the sixth year (Section IV). Tenured faculty members undergo periodic comprehensive review (Section V). The outcomes of these reviews for 2010-2011 indicate that the vast majority of Colorado State University faculty members are performing at or above the expectations for their assignments.

I. PROCESS FOR FACULTY HIRES

Hiring new faculty members is among the most important responsibilities of department faculty and college administrators. The processes used in soliciting applications and interviewing candidates vary across the University as to detail, but universally, the search processes are characterized by thoroughness and intensity. Searches generally share the following characteristics:

1. Positions are advertised in printed and electronic form in locations appropriate for the profession involved. Advertising must appear in locations ordinarily accessed by potential faculty members who would enhance the diversity of the unit. Members of search committees are expected to be proactive in solicitation of nominations and applications. Advertising typically specifies the expectations of the successful applicant in terms of teaching, advising, research, service, and outreach.
2. Applicants are asked to provide a letter of interest, a resume (curriculum vita), and typically three letters of recommendation. Application materials may include statements of teaching philosophy, a list of courses the applicant is qualified to teach, summaries of student evaluations, research plans, and publication lists.
3. Semifinalists are selected after a careful screening by a departmental committee and in strict adherence with clearly defined equal opportunity guidelines. Often, additional information is solicited from other experts in the field.

4. Finalists are selected after another careful screening. Interviews usually include meetings with those who are likely to have important roles in the professional life of the successful applicant. This certainly includes members of the faculty of the department conducting the search, but often also includes faculty members from other departments where interactions and collaborations might occur. Students are often included in the interview process. The interview almost always includes one or more presentations by the applicant, and a meeting with the Dean.

II. ANNUAL PERFORMANCE REVIEWS

Performance reviews are conducted for all Colorado State University faculty members on an annual, calendar-year basis. Each faculty member prepares an annual activities report which details his/her activities in teaching, research and creative activity, and service/outreach. Typically, faculty members expend 40-55 percent of their effort in teaching, 30-45 percent in research and creative activity, and 5-20 percent in service/outreach. The department head/chair assesses the activities of the faculty member and assigns a performance rating for each of the three categories and an "overall" rating. The faculty member and the head/chair meet to discuss the evaluation which is then forwarded to the college dean's office for review. The summary report of the evaluation is forwarded to the Provost/Executive Vice President for further review and reporting.

For the calendar year 2010, 1,093 tenured and tenure-track faculty were reviewed. The "overall" outcomes were:

Superior performance:	100
Exceeded performance expectations:	471
Met performance expectations:	486
Below performance expectations:	34
Unsatisfactory performance:	2

The overwhelming majority of the reviews were positive, indicating that the faculty are meeting or exceeding the University's performance expectations. It is important to note that faculty members who receive "met performance expectations," and sometimes those who receive "exceeded performance expectations," ratings may be given suggestions for improvement in one or more of the three categories that are evaluated.

III. REAPPOINTMENT

Academic faculty on regular appointments who have not acquired tenure are appointed on a contractual basis not exceeding one year. Such faculty members undergo an annual review of progress toward tenure by the department Tenure and Promotion Committee. At the midpoint of the probationary period, ordinarily at the end of the third year of appointment, such faculty members undergo a more comprehensive review. Regular faculty members making satisfactory progress are reappointed.

IV. TENURE AND PROMOTION

The following table summarizes Colorado State University's promotion and tenure activity for 2010-2011.

College	Tenure	Promotion to Associate	Tenure & Promotion to Associate	Promotion to Full	Tenure & Promotion to Full	Denied	Total
Agricultural Sciences	1		8	1		1	11
Applied Human Sciences			5	2			7
Business	1		1	2			4
Engineering	1		1	4			6
Liberal Arts			9	9		1	19
Libraries			4				4
Natural Resources			2				2
Natural Sciences			7	3		1	11
Veterinary Medicine	2	6	7	4			19
TOTAL	5	6	44	25		3	83

Promotion of Special Appointment Faculty

	Promotion to Assistant Professor (Special)	Promotion to Associate Professor (Special)	Promotion to Professor (Special)	TOTAL
TOTAL		6		6

There were six recommendations for promotion of a faculty member with a special appointment in the academic year. Note that these are included in both tables above.

We note that in this past year, there were three denials of promotion and/or tenure. This does not mean that every case that was initially proposed was successful. Each year, there are cases that come forward that are withdrawn for a variety of reasons, most having to do with some level of administrative discouragement due to a perception that the case is not strong enough yet. The above statistics represent those cases that made it through the process leading to a formal recommendation by the Provost to the President.

V. COMPREHENSIVE REVIEW OF TENURED FACULTY

All tenured faculty at Colorado State University are subject to periodic comprehensive reviews of their performance. Phase I Comprehensive Performance Reviews of faculty are conducted by the department head/chair at intervals of five years following the acquisition of tenure, or if there are two unsatisfactory annual reviews within a five-year period. The department head's review identifies strengths and any deficiencies in the faculty member's performance. Department heads who believe that a faculty member's deficiencies can be corrected without implementing a Phase II Comprehensive Performance Review prepare, in consultation with the faculty member, a specific professional development plan to assist the faculty member in meeting the department's performance expectations. The review may also result in changes in the distribution of the faculty member's effort across teaching, research, outreach, and service.

If a faculty member's deficiencies are deemed to be more significant, a Phase II Comprehensive Performance Review is initiated. This review is conducted, according to procedures specified in the department's Code, by three of the faculty member's peers at the same or higher rank. The department head is not a committee member. A majority of the committee must decide if the faculty member's performance is satisfactory, or has minor deficiencies, or has deficiencies that are substantial and chronic or recurrent and must be remedied, or is so unsatisfactory as to warrant possible sanctions up to and including tenure revocation. When deficiencies are noted that must be remedied, the department head and faculty member design a professional development plan indicating how the deficiencies are to be remedied and set timelines for accomplishing each element of the plan. Such development plans must be approved by the dean of the college. When sanctions are involved, the Provost/Executive Vice President makes a recommendation to the President regarding action. [see: Colorado State University, Academic Faculty and Administrative Professional Manual, E.14.3, Periodic Comprehensive Reviews of Tenured Faculty].

In the past year (2010) 12 of the 129 faculty members scheduled for Comprehensive Review were delayed or canceled. Cancellations or delays of comprehensive reviews are due to promotions, resignations, retirements, or sabbaticals. One professional development plan was implemented. The following table summarizes the results of the reviews by College and by outcome.

2010-2011 Comprehensive Review Summary

College	Number	Satisfactory	Delayed or Canceled	Professional Development Plans	Phase II
Agricultural Sciences	9	7	2		
Applied Human Sciences	15	13	1	1	
Business	13	13			
Engineering	9	8	1		
Liberal Arts	28	26	2		
Natural Resources	10	10			
Natural Sciences	23	19	4		
Vet. Med. and Biomedical Sciences	20	18	2		
Libraries	2	2			
Total	129	116	12	1	

Results from the last six years of Comprehensive Reviews are recorded in the table below.

Six Year Comprehensive Review Summary

Year	Number	Satisfactory	Delayed or Cancelled	Professional Development Plans	Phase II
2004-2005	104	89	8	7	0
2005-2006	85	76	4	5	0
2006-2007	56	56	1	2	0
2007-2008	95	94	4	4	0
2008-2009	110	109	3	3	0
2009-2010	66	66	3	0	0
2010-2011	129	127	12	0	0

Since we have started with the Comprehensive Reviews some eight years ago, virtually all faculty have been through the process once, and we are now in our "second cycle" for many faculty members. It is not surprising therefore that the number of professional development plans that are necessary may be declining.

Searches share the following characteristics:

1. All tenure-track faculty searches are conducted nationally. Positions are advertised in printed and electronic form in locations appropriate for the discipline involved. All positions are posted on the University's web site and, typically, in the discipline's major print and electronic resources for job searches. Members of search committees are expected to be proactive in soliciting nominations and applications, and, typically, contact is made with leading Ph.D. programs in the discipline, especially those with high rates of minority and Hispanic graduates. Advertising specifies the expectations of the successful applicant in terms of teaching, scholarship, and faculty duties unique to the position.
2. Applicants are asked to provide a letter of interest, resume (curriculum vita), evidence of excellent teaching performance and names of references and/or letters of recommendation.
3. A search and screen committee is named, with the majority of members representing the discipline in which the position exists. Faculty from other disciplines sometimes are named to the search and screen committee in order to promote diversity or to represent the teaching interests of related fields.
4. Candidates meeting minimum qualifications are determined after a careful review by the search and screen committee and in strict adherence with clearly defined University guidelines. The group of qualified candidates is further reviewed through more extensive examination of submitted materials, telephone interviews with references and/or telephone interviews with the top candidates.
5. The resulting finalists are invited for an on-campus interview. Interviews usually include meetings with those who are likely to have important roles in the professional life of the successful applicant. This includes members of the faculty of the department conducting the search, but often also includes faculty members from other departments where interactions and collaborations might occur. Students are included in the interview process. The interview almost always includes at least two presentations by the applicant, one a teaching demonstration and one a presentation of scholarly work.

II. ANNUAL PERFORMANCE REVIEWS

Performance reviews are conducted for all Colorado State University-Pueblo faculty on an annual, calendar-year basis. Each faculty member prepares an annual activities report, which details his/her activities in teaching, scholarship/creative activity, and service/outreach in relation to the faculty member's annual performance goals and plan. The department chair assesses the activities of the faculty member in light of formal departmental and college performance standards and University performance criteria. The faculty member and the chair meet to discuss the evaluation, which is then forwarded to the college dean's office for review. The dean's and the chair's recommendations are forwarded to the provost for further review, and then all recommendations are submitted to the president for final approval.

For the calendar year 2010, 89 tenured and tenure-track faculty members were reviewed.

This number includes department chairs. The outcomes were:

Meets Expectations: 4 (4%)
 Exceeds Expectations: 47 (53%)
 Exceptional: 38 (43%)
 Below Expectations: 0
 Unsatisfactory: 0

As part of the annual review process, all faculty receive feedback about the quality of their performance, and this feedback affects the identification of performance goals for the next year. Additionally, faculty members receiving "below expectations" evaluations overall or in any evaluation category prepare special development plans, in consultation with their chairperson (see below).

III. REAPPOINTMENT

Academic faculty on regular appointments who have not acquired tenure are appointed on a contractual basis not exceeding one year. Such faculty members undergo an annual review of progress toward tenure as part of the standard annual review process. Faculty members making satisfactory progress are reappointed.

IV. TENURE AND PROMOTION

The following table summarizes Colorado State University - Pueblo promotion and tenure outcomes for 2010-2011.

Academic Unit*	Tenure	New Appointments with Tenure	Promotion to Associate	Tenure & Promotion to Associate	Promotion to Full	Tenure & Promotion to Full	Denied	Total Actions
CEEPS	1	0	1	1	1	0	1	5
CHASS	1	0	0	0	1	0	1	3
CSM	1	0	0	3	0	0	1	5
HSB	2	0	2	1	0	0	1	6
Library	1	0	0	0	1	0	0	2
TOTAL	6	0	3	5	3	0	4	21

*See key for acronyms at end of report.

V. COMPREHENSIVE REVIEW OF TENURED FACULTY

All tenured faculty at Colorado State University-Pueblo must complete a comprehensive, post-tenure review every five years. This review consists of the annual performance review for the current year plus a review of performance over the previous four years. If the comprehensive review results in a non-meritorious rating or if two successive annual reviews result in a non-meritorious rating, a cumulative performance review is scheduled for the

The Board of Governors of the
Colorado State University System
Meeting Date: August 9-10, 2011
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Stretch Goal: N/A Strategic Initiative: Goals One and Two

Approved

MATTERS FOR ACTION:

Approval of New CSU System Financial Aid policy and adoption of CSU, CSU-Pueblo, and CSU-Global Campus Financial Aid Policies

RECOMMENDED ACTION:

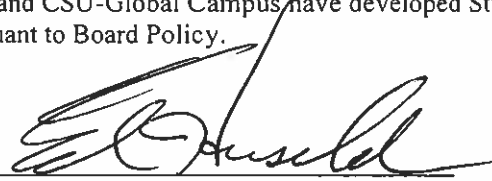
MOVED, that the Board of Governors of the Colorado State University System hereby approves the Colorado State University System Financial Aid Policy to be included in the Board Bylaws, and the CSU Student Financial Assistance Program policies, CSU-Pueblo Student Financial Services Financial Aid policies, and CSU-Global Campus Student Financial Aid policies as presented and reviewed in Finance Committee, and further delegates authority to the Presidents of Colorado State University, Colorado State University-Pueblo, and Colorado State University-Global Campus to authorize future amendments and modifications to the university policies, including any appendices to the policies, together with any and all procedures necessitated for the implementation and compliance with the policies.

EXPLANATION:

Presented by Rich Schweigert, Chief Financial Officer and Officer of the Board of Governors of the Colorado State University System

During the 2010 legislative session, the General Assembly enacted Senate Bill 10-003, which amended C.R.S. § 23-3.3-102(3), to require each institution of higher education to administer a financial assistance program according to policies and procedures established by its governing board. Prior to SB10-003, the Colorado Commission on Higher Education was authorized to establish such policies. CSU, CSU-Pueblo and CSU-Global Campus have developed Student Financial Aid Policies and Procedures pursuant to Board Policy.

 X _____
Approved Denied



Board Secretary
8/10/11

Date

The Board of Governors of the
Colorado State University System
Meeting Date: August 9-10, 2011
Action Item

Stretch Goal: N/A Strategic Initiative: Goal Three

Approved

MATTERS FOR ACTION:

Update and Re-adoption of Colorado State University System Finance Policies

RECOMMEND ACTION:

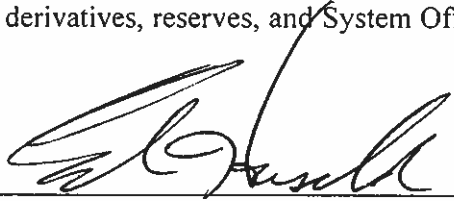
MOVED, the Board of Governors readopt the updated Finance Policies for the CSU System and Board of Governors By-laws as presented and reviewed by the Finance Committee and including revised policies for Budget, Debt Management, Derivatives, Reserves and Finance Office Operations.

EXPLANATION:

Presented by Rich Schweigert, Chief Financial Officer and Officer of the Board of Governors of the Colorado State University System

The finance policies of the Board of Colorado State University System and the Board of Governors are routinely updated to ensure relevancy with the marketplace and operational structure of the CSU System. These policies have been reviewed by the campuses and necessary grammatical and substantive edits made. The Finance Policy of the Board of Governors is provided as guidance for internal, as well as external groups who need information regarding the overall philosophy and requirements of the Board in the areas of budgeting, debt financing, derivatives, reserves, and System Office operating policies.

 X _____
Approved Denied



Board Secretary
8/10/11

Date

Board of Governors of the
Colorado State University System
Meeting Date: August 10, 2011
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Approved

Stretch Goal or Strategic Initiative: Goal 34 – Master Building Plan

MATTERS FOR ACTION:

Land: Acquisition of Four Properties on 1400 S. College Block, Fort Collins, Colorado

RECOMMENDED ACTION:

MOVED, that the Board of Governors of the Colorado State University System approve the acquisition of four properties known as 1405, 1413 and 1415 South College Avenue, along with the property known as 111 West Lake Street in Fort Collins, Colorado, which are adjacent to the southeast corner of the Colorado State University Main Campus, from the Colorado State University Research Foundation for \$1,742,000.

FURTHER MOVED, that the President of Colorado State University is hereby authorized to sign implementing contracts and other documents necessary and appropriate to consummate the transaction with modifications made in consultation with General Counsel.

EXPLANATION:

Presented by Dr. Anthony Frank, President, Colorado State University.

The Colorado State University Research Foundation (CSURF) owns several properties adjacent to the southeast corner of the Colorado State University Main Campus in Fort Collins. Combined, the properties are located on lots totaling 33,930 square feet (.779 acres) with 10,452 square feet of quality commercial office space, a 964 square foot residence, and a 440 square foot garage. The properties, acquired by CSURF between 1993 and 2009, are needed by the University for its expansion of program space. These properties are shown on the attached map.

Land: Acquisition of Four Properties on 1400 Block of South College

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For many years the campus long range master plan has included a goal to acquire the block of property at the corner of Prospect and College. This is one of the most visible, high traffic corners in the City of Fort Collins, and in the future, having a strong university building on that corner would make a powerful statement about the campus. CSURF has acquired, by purchase or lease-purchase, several of the parcels on this block and has leased and managed the facilities until such time that the University could purchase and utilize the properties for University programs.

The properties will be acquired with funds from the Future Revenue Contingency Reserve.




 X
Approved Denied


Board Secretary

 8/10/11
Date

South College Houses



-  CSURF Owned
-  CSURF Proposed Sale to CSU
-  CSURF Lease/Purchase Agreement



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Approved for Submission

Stretch Goal _____ Strategic Initiative _____ N/A X

MATTER FOR ACTION:

FY 10-11 Audit Plan

RECOMMENDED ACTION:

That the Board of Governors approve the proposed FY 11-12 Audit Plan, as approved by the Audit Committee, for Colorado State University, Colorado State University-Pueblo, and Colorado State University-Global Campus.

EXPLANATION:

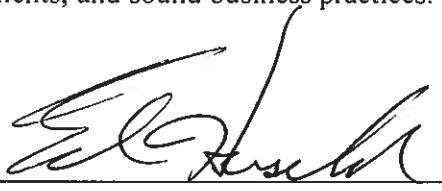
Each year the Director of Internal Auditing proposes a plan for audits at the three institutions. The plan is based on an assessment of risks considering such criteria as time since last audit, level of activity (financial activity, research activity, and other appropriate measures of activity), and visibility of programs; and is informed by input from administrators at the institutions and the audit staff.

Audit resources available at the CSUS are: Audit Manager, Information Technology Auditor, and three Senior Auditors.

The approval of the FY 2011-2012 Audit Plan will provide the authority for the Department of Internal Auditing to address its charge to provide the Board of Governors and the Chancellor with an independent and objective evaluation of the internal controls necessary to accomplish System objectives in compliance with policies and procedures, regulatory requirements, and sound business practices.

Presented by Allison Horn, Director, Internal Auditing

 #
Approved Denied


Secretary
Board of Governors of the Colorado State
University System

8/10/11
Date

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Approved

Stretch Goal or Strategic Initiative: Colorado State University System Goal Two: Financial Sustainability.

MATTERS FOR ACTION:

The Board of Governors of the Colorado State University System (Board): Approval of Board policy prohibiting indemnification by the Colorado State University System (System) or its institutions except in certain limited contracts.

RECOMMENDED ACTION:

MOVED, that the Board of Governors of the Colorado State University System, under the authority granted by C.R.S. § 23-5-106, hereby approves the Board indemnification policy for the System and its institutions, Colorado State University, Colorado State University-Pueblo and Colorado State University-Global Campus.

FURTHER MOVED, that this Board action shall not otherwise modify or amend prior delegations of authority from the Board to the System and the institution Presidents regarding the authority to approve and execute contracts, agreement and other binding legal instruments.

EXPLANATION:

Presented by Michael Nosler, General Counsel, Jason Johnson, Deputy General Counsel and Amy Parsons, Vice President for University Operations, CSU.

On June 7, 2011, the Governor signed into law HB 11-1301 which, among other things, allows governing boards of state institutions designated as an enterprise pursuant to C.R.S. § 23-5-101.7, to contract to indemnify and hold harmless a contractor. Before so contracting, the governing board must determine that the contract serves a valid public purpose and that any risks to the institution are sufficiently limited and outweighed by the benefits of the contract. Further, any claims or expenses that arise from a contract to indemnify or hold harmless must be payable solely from revenues of the institution and may not be paid from the State of Colorado's risk management fund.


Previously, institutions have been constrained by state law that prohibited agencies and institutions from agreeing to indemnify another party. This, in turn, often prevented institutions from acting in a commercially reasonable manner, despite the increasingly critical need to work with the private sector in business, technology and research matters. In order to function, the institutions are presented with typical contract forms wherein each of the parties contract to indemnify and hold each other harmless from claims and demands arising from a breach, act or omission of the other, and the term is not subject to negotiation. Because CSU funds its own risk prevention and mitigation measures, purchases commercial insurance, and expects to compete in a commercial marketplace both as a buyer

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and seller of goods and services, contractual flexibility in this arena is highly beneficial and will save costs. CSU-Pueblo and CSU-Global Campus will also benefit from contractual flexibility, especially in light of the commercial realities of contracting for necessary goods and services using standard contracts.

This Board policy prohibits the System and its institutions from indemnifying any contractor, except in limited circumstances and only if certain procedural safeguards are followed. This policy describes the criteria that a contract must satisfy in order to qualify as an approved contract, which is limited to the following types of contracts: (a) license of intellectual property; (b) purchase, lease or license of information technology goods and services; (c) purchase, lease or license of specialized equipment, tools and/or supplies predominantly for research activities; or (d) license to enter upon land or utilize laboratory or other building space. If the contract meets the necessary criteria, it is considered an approved contract. Through this policy and in compliance with state law, the Board finds that such approved contracts serve a valid public purpose and the risks to the System and the institutions are outweighed by the benefits of the contracts, provided that the procedures listed in the policy for approving such contracts have been followed.



Approved Denied



Board Secretary
8/10/11